No.



8300132

THEIR CONTRIBID SHAMES OF AMIRIRICA

TO ALL TO WHOM THESE PRESENTS SHALL COME:

Stauffer Chemical Company

Wilherens, There has been presented to the

Secretary of Agriculture

AN APPLICATION REQUESTING A CERTIFICATE OF PROTECTION FOR AN ALLEGED NOVEL VARIETY OF SEXUALLY REPRODUCED PLANT, THE NAME AND DESCRIPTION OF WHICH ARE CONTAINED IN THE APPLICATION AND EXHIBITS, A COPY OF WHICH IS HEREUNTO ANNEXED AND MADE A PART HEREOF, AND THE VARIOUS REQUIREMENTS OF LAW IN SUCH CASES MADE AND PROVIDED HAVE BEEN COMPLIED WITH, AND THE TITLE THERETO IS, FROM THE RECORDS OF THE PLANT VARIETY PROTECTION OFFICE, IN THE APPLICANT(S) INDICATED IN THE SAID COPY, AND WHEREAS, UPON DUE EXAMINATION MADE, THE SAID APPLICANT(S) IS (ARE) ADJUDGED TO BE ENTITLED TO A CERTIFICATE OF PLANT VARIETY PROTECTION UNDER THE LAW.

NOW, THEREFORE, THIS CERTIFICATE OF PLANT VARIETY PROTECTION IS TO GRANT UNTO THE SAID APPLICANT(S) AND THE SUCCESSORS, HEIRS OR ASSIGNS OF THE SAID APPLICANT(S) FOR THE TERM OF eighteen years from the date of this grant, subject to the payment of the required fees and periodic replenishment of viable basic seed of the variety in a public repository as provided by LAW, the right to exclude others from selling the variety, or offering it for sale, or reproducing it, importing it, or exporting it, or using it in producing a hybrid or different ty therefrom, to the extent provided by the Plant Variety Protection Act 1542, as amended, 7 u.s.c. 2321 et seq.)

SUNFLOWER

'SS405B'

In Eastimony Whereof, I have hereunto set my hand and caused the seal of the Plant Variety Protection Office to be affixed at the City of Washington this 27th day of January in the year of our Lord one thousand nine hundred and eighty-four.

John R Block

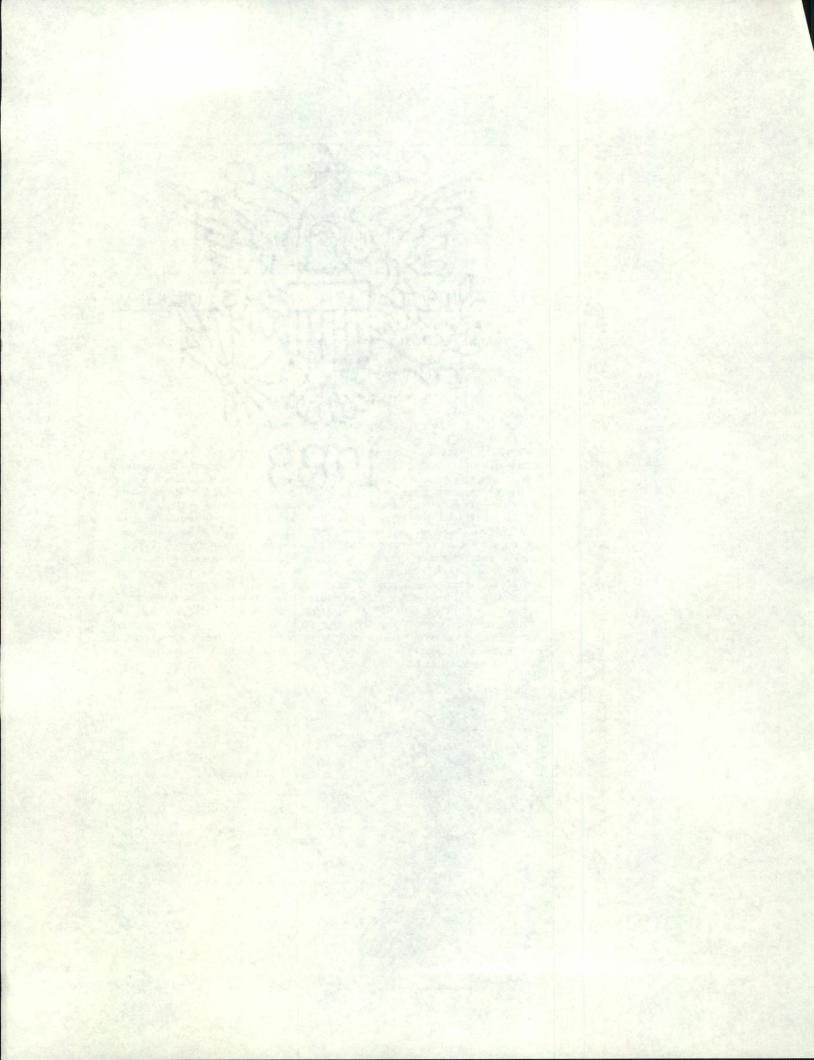
Secretary of Agriculture

Allest:

Commissioner Protection Office

Plant Variety Protection Office Grain Division

Agricultural Marketing Service



U.S. DEPARTMENT OF AGRICULTURE AGRICULTURAL MARKETING SERVICE			FORM APPROVED: OMB NO. 0581-0008				
APPLICATION FOR PLANT VARIETY PROTECTION CERTIFICATE				No certificate for plant variety protection may be issued unless a completed application form has been received (5 U.S.C. 553).			
Stauffer Chemical Company				9	SS405B		
	DRESS (Street and No. or R.F.D. No., City, St	tate, and Zip Code)	5. PHONE (Include area code)	7	FOR OFFIC	IAL USE ONL	LY
Westport, Connecticut 06881 (415) 231-1017			(415) 221 1017	PVPC	NUMBER		3.45
			(415) 231-1017	8300132			
6. GE	NUS AND SPECIES NAME	7. FAMILY NA	ME (Botanical)	FILING	DATE	2	X = -
Helianthus annuus Asteraceae			e (Compositae)		5/12/8 TIME 2:30	3	
8. KII	ND NAME	9.	DATE OF DETERMINATION	_	AMOUNT F		
			September, 1981	RECEIVED	\$ 1,000 DATE 5/12/83		
10. IF	THE APPLICANT NAMED IS NOT A "PERS	ON," GIVE FORM	OF ORGANIZATION (Corporation,		AMOUNT F	OR CERTIFIC	CATE
	artnership, association, etc.)			EES	\$ 500.00		
(Corporation			L	12/2/8	33	
11. IF INCORPORATED, GIVE STATE OF INCORPORATION					DATE OF INC		N
	Delaware AME AND ADDRESS OF APPLICANT REPRI		The second second				
a. [Exhibit A, Origin and Breeding History of the Section 52 of the Plant Variety Protection.	the Variety (See	c. X Exhibit C, Objective D	escript	ion of the Var	iety (Request	form
ь. [Exhibit B, Novelty Statement		d. X Exhibit D, Additional	Descrij	otion of the Va	T/22/8	300
15. De SE	DES THE APPLICANT(S) SPECIFY THAT SE EED? (See Section 83(a) of the Plant Variety P	ED OF THIS VARI	ETY BE SOLD BY VARIETY NAME Yes (If "Yes," answer				No.
16. D	DES THE APPLICANT(S) SPECIFY THAT TH MITED AS TO NUMBER OF GENERATIONS	IS VARIETY BE	17. IF "YES" TO ITEM 16, W BEYOND BREEDER SEE	VHICH			
Yes X No						rtified	
18. D	D THE APPLICANT(S) FILE FOR PROTECT	ION OF THE VAR	IETY IN THE U.S. OR OTHER COU	NTRIE	C Y	es (If "Yes," f	give names d dates)
					X N	lo	
19. H	AVE RIGHTS BEEN GRANTED IN THE U.S.	OR OTHER COUN	TRIES?				THE STATE OF
						es (If "Yes," f countries and	give names d dates)
					X	lo	
20. Th	ne applicant(s) declare(s) that a viable samenished upon request in accordance with	ple of basic seed	s of this variety will be furnished	with	the application	on and will l	be re-
Th dis	enished upon request in accordance with see undersigned applicant(s) is (are) the own stinct, uniform, and stable as required in Stricty Protection Act.	mer(s) of this sex	ually reproduced novel plant var	iety, a	and believe(s) sions of Sect	that the varion 42 of th	riety is ne Plant
	oplicant(s) is (are) informed that false rep	resentation herei	n can jeopardize protection and r	esult	in penalties.		
	TURE OF APPLICANT				ATE		
allet L. I memon							1
SIGNA	TURE OF APPLICANT			D	ATE		

INSTRUCTIONS

General: Send an original copy of the application and exhibits, at least 2,500 viable seeds, and \$1000 fee (\$500 filing fee and \$500 examination fee) to U.S. Department of Agriculture, Agricultural Marketing Service, Livestock, Meat, Grain and Seed Division, Plant Variety Protection Office, National Agricultural Library Building, Beltsville, Maryland 20705. (See section 180.175 of the Regulations and Rules of Practice.) Retain one copy for your files. All items on the face of the form are self-explanatory unless noted below.

Item Give the date the applicant determined that he had a new variety based on (1) the definition in section 41(a) 9 of the Act and (2) the date a decision was made to increase the seed. Give: (1) the genealogy, including public and commercial varieties, lines, or clones used, and the breeding 14a method; (2) the details of subsequent stages of selection and multiplication; (3) the type and frequency of variants during reproduction and multiplication and state how these variants may be identified and (4) evidence of uniformity and stability. Give a summary statement of the variety's novelty. Clearly state how this novel variety may be distinguished 14b from all other varieties in the same crop. If the new variety most closely resembles one or a group of related varieties: (1) identify these varieties and state all differences objectively; (2) attach statistical data for characters expressed numerically and demonstrate that these differences are significant; and (3) submit, if helpful, seed and plant specimens or photographs of seed and plant comparisons clearly indicating novelty. Fill in the Exhibit C, Objective Description form, for all characteristics for which you have adequate data. 14c Describe any additional characteristics that are not described, or whose description cannot be accurately 14d conveyed in Exhibit C. Use comparative varieties as is necessary to reveal more accurately the description of characteristics that are difficult to describe, such as plant habit, plant color, disease resistance, etc. If "Yes" is specified (seed of this variety be sold by variety name only as a class of certified seed) the 15 applicant may NOT reverse his affirmative decision after the variety has either been sold and so labeled, his decision published, or the certificate has been issued. However, if the applicant specified "No," he may change his choice. (See section 180.16 of the Regulations and Rules of Practice.) See section 42 of the Plant Variety Protection Act and section 180.7 of the Regulations and Rules of 16 Practice.

DE GUIGNÉ TECHNICAL CENTER WESTERN RESEARCH



Stauffer Chemical Company

1200 S. 47th St. / Richmond, CA 94804 / Tel. (415) 231-1000 / TWX (910) 382-8174

October 7, 1983

Dr. Joseph J. Higgins, Examiner Plant Variety Protection Office National Agricultural Library Building Beltsville, Maryland 70705

Dear Dr. Higgins:

Sunflower Application 8300132, 'SS405B' Our Ref: PR-7162

Responsive to your letter of September 19, 1983 requesting a statement of the differences between 'SS405B' and H3136 released by Dr. Beard, I am submitting the following information obtained from David Ferguson, Ph.D. the breeder of 'SS405B'.

Dr. Beard's H3136 was a heterogeneous germ plasm release whereas 'SS405B' is a non-segregating inbred line.

H3136 contained many different phenotypes and was not inbred for more than two generations. Significantly, the H3136 release had various combinations of restoration genes and correspondingly had various phenotypes giving full restoration, leakers (partial restoration) and non restoration. By contrast, 'SS405B' lacks restoration genes and is used as a maintainer line for its male sterile counterpart.

> Sincerely, glast I I men

Elliott L. Fineman

ELF/cdo

cc: David B. Ferguson

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June 22, 1983

Mr. Joseph J. Higgins, Examiner Plant Variety Protection Office United States Department of Agriculture National Agricultural Library Building Beltsville, MD., 20705

Dear Mr. Higgins:

Re: Response Under 7 CFR Section 180.20 to Request for Additional Information in Sunflower Application No. 8300132, 'SS405B' Our Ref: PR-7162

Responsive to the request, mailed from your office June 1, 1983 for additional information in the above-indicated application, listed below are the answers to the questions posed in your request.

- 1. In Exhibit A, there are no variants.
- 2. The high degree of homozygosity and homogeneity does insure that SS405B is stable in its characteristics, remaining the same from one sexual generation to another.
- 3. The parent line received from Dr. Beard was the 1974 USDA release H3136.
- 4. Supportive data for Exhibit B are set out on the attached page.
 - 5. For item 4 in Exhibit C, the variety displays no branching.

It is believed that the information furnished above, and on the attached sheet, is fully responsive to the request for additional information mailed from your office on June 1, 1983. In the event that further information is required, please contact the undersigned at the above-indicated address, or call collect by telephone at (415) 231-1017.

Sincerely

Elliott L. Fineman

Patent Attorney

ELF/cjn Attachment

6-27-83

Plant Height Data

Line	1982 Fresno, CA. Row No.	Height of Ten Plants (in Centimeters)	AVG.
SS405B	4745	65 75 65 70 70 70 75 70 70 65	70cm
на 89В	4673	100 95 95 90 90 95 85 115 90 80	94cm

Ray Flower Length Data
Length of 15 Ray Flowers (Three rays
From Each of Five Individual Plants) AVG.

SS405B 4745

48mm 47 47 51 47 51 48 42 47 42 44 46.2mm
43 43 45 48

HA89B 4673 63mm 65 63 60 62 54 60 58 57 59 59 60.9mm

STOTA A SUBBASABILE N A star of the second se 26% COTTON FIRSTE, L'ESTA L'ESTA 6-27-83

EXHIBIT A

Stauffer Seeds (SS)405B is the maintainer component of a specific female parent inbred line of <u>Helianthus annuus</u>. This line was derived by the pedigree method of breeding using bag isolation to attain self pollination. Eight generations of selfing were imposed on this line to attain a high degree of homozygosity and homogeneity. This line was derived from germplasm released by Dr. Beard, USDA in 1974.

AGAMAN BOUNT

MAY 12 1983

EXHIBIT B

'SS405B' is most similar to 'HA89', 'SS405B' differs from 'HA89' in having anthocyanin pigmentation of the stigmas, is 23 cm shorter and the rays are 14.7 mm shorter.

261 0008

CONTINUE HERE

MAY 12 1983

EXHIBIT D

'SS405B' is the maintainer component of a female oil type sunflower inbred line. This line exhibits earliness itself and transfers earliness to its hybrid progeny.

'SS405B' exhibits excellent general combining ability with materials derived from the Texas and Canada Morden germplasm releases as gene pools.

'SS405B' exhibits less than 10% dormancy one week after harvest.

'SS405B' exhibits a very high degree of self-compatability and as a consequence, high seed set percentages.

The flag leaf of 'SS405B' is a distinctive mark as it's petiole is nearly vertical at the neck crook of the plant.

'SS405B' has weakly fused anther cylinders which tend to tear apart at anthesis.

'SS405B' has a rapid dry down of the seed at maturation and contributes this character to its hybrid progeny.

The semi-dwarf character is carried well into the hybrid progeny and contributes to 'SS405B' ability to resist lodging.

CINOCA IN WAYA SON

U.S. DEPARTMENT OF AGRICULTURE AGRICULTURAL MARKETING SERVICE LIVESTOCK, POULTRY, GRAIN & SEED DIVISION BELTSVILLE, MARYLAND 20705

EXHIBIT C (SUNFLOWER)

OBJECTIVE DESCRIPTION OF VARIETY

SUNFLOWER (HELIANTHUS AN	(NUUS)
NAME OF APPLICANT(S) Stauffer Chemical Company	VARIETY NAME OR TEMPORARY DESIGNATION \$\$405B
ADDRESS (Street and No., or R.F.D. No., City, State, and ZIP Code)	FOR OFFICIAL USE ONLY
Westport, Connecticut 06881	8300132
Place numbers in the boxes (e.g. 01819) for the characters that best describe typical	
NON-OIL: 1 = ARROWHEAD 2 = MINGREN 3 = SUNDAK OIL: 4 = PEREDOVIK 5 = KRASNODARETS 6 = OTHER HA	
1. CLASS:	
1 = OIL TYPE 2 = NON-OIL TYPE (confectionery)	
2. MATURITY:	
0 3 0 NO. OF DAYS TO HEAD FIRST VISIBLE 0 8	NO. OF DAYS TO HARVEST RIPENESS (from emergence)
0 3 DAYS EARLIER THAN 6	6 DAYS EARLIER THAN 6
HEADING SAME AS COMPARISON VARIETY	MATURITY SAME AS COMPARISON VARIETY
DAYS LATER THAN	DAYS LATER THAN
3. HEIGHT:	
0 7 0 cm TALL AT HARVEST RIPENESS 0 2	3 cm SHORTER THAN 6
	SAME AS COMPARISON VARIETY
	cm TALLER THAN
4. STEM:	
0 6 3 LENGTH OF INTERNODE AT HARVEST RIPENESS 2 2	NUMBER OF LEAVES
2 2 0 cm. shorter than 6	FEWER LEAVES THAN
SAME AS COMPARISON VARIETY	SAME AS COMPARISON VARIETY
CM. LONGER THAN	MORE LEAVES THAN
BRANCHING: 1 = NO BRANCHING 3 = TOP BRANCHING (with central head)	2 = BASAL BRANCHING 4 = FULLY BRANCHED (without central head)
COLOR OF GROWING POINT: 1 = GREEN 2 = YELLOW	8

9. DISEASE AND INSE	CTS (0 = Not tested	I, 1 = Susceptible, 2 =	Resistant):						
1 RUST (Puccinia helianthi)					1 SCLEROT	INIA WILT (Sclerotin	ia sclerotiorum)		
GIVE RACES:									
1 VERTICILLIUM WILT (Verticillium dahliae)					0 LEAF MO	TTLE (V. albo-atrum)			
1 DOWNY MILDEW (Plasmorpara halstedii)					0 GRAY-MOLD BLIGHT, BUD ROT (Botrytis cinerea)				
0 WHITE BLISTER RUST (Albugo tragopogi)					O CHARCOAL ROT, STEM ROT (Macrophomina phase				
BROOM RAPE (Orobanche cannis)					1 SUNFLOWER MOTH, N. AMERICAN HEAD MOTH				
	GIVE RACES:				OTHER (specify)				
OTHER	R (specify)	al al Citation	-	_	OTHER (specify)				
	esemblance by placin	MOST CLOSELY RE g in the column marke nferior than compariso	d, D.R., or		owing numbers:	or the following chara , darker, or superior	cteristics		
CHARACTER	V	ARIETY	D.R.	CHARACTER		VARIETY	D.R.		
Frost resistance				Leaf attitude		RHA 273	2		
Lodging resistance		HA 89		Head attitude		HA 89	2		
Neck or stem strength	HA 89			Ray flower color		HA 89	2		
Branching type	HA 89		2	Seed shape		HA 89	2		
Petiole length Leaf shape	HA 89		1 Seed colo			RHA 274	2		
Leaf color (green)	HA 89		2	-	Seed striping pattern RHA 274 Seed yield HA 89		2		
11. GIVE THE FOLLOW		JBMITTED AND A SI	MILAR V	ARIETY*.					
VARIETY	HULL (%) PROTEIN (%)		OIL (%)		IODINE NO.		Y ACIDS		
Submitted						OLEIC (%)	LINOLEIC (%)		
		1	37			-			
Similar	Literature .		45						
Name of similar variety			HA 89		-				
* Hull, protein and oil p	ercentages expressed	for whole undecortica	ated seed; a	cids express	ed as percentages of oi	I .			
12. COMMENTS:									
	cellent lodg	ing resistanc	e						
Wo	akly fused a	nther cylinde	r						

No anthocyanin in hypocotyl

11

ASSIGNMENT OF PLANT VARIETY PROTECTION CERTIFICATE

THIS ASSIGNMENT made this 23 day of March, 1987, by Stauffer Chemical Company, a Delaware corporation with principal offices at Nyala Farm Road, Westport, Connecticut 06881-0850, (hereinafter referred to as "Stauffer");

WITNESSETTH:

WHEREAS, Stauffer is the owner by assignment of the entire right, title and interest in and to the invention for which was issued the following United States Plant Variety Protection Certificate:

Certificat Number	Issue Date	Inventor (s)	Title	
8300132	January 27, 1984	David B. Ferguson	In Bred Sunflower Line SS405B	

WHEREAS, NORTHRUP KING CO., a Delaware corporation, having its principal offices at 7500 Olson Memorial Highway, Golden Valley, MN. 55427 hereinafter referred to as "Northrup" is desirous of acquiring the entire right, title and interest for the United States in and to said invention for which the aforesaid United States Plant Variety Protection Certificate was issued;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and other good and valuable consideration, receipt of which is hereby acknowledged, and in partial fulfillment of its obligations under a certain contract between Stauffer and Northrup King Company, Stauffer



does hereby sell, assign, transfer, set over and convey unto Northrup all right, title and interest for the United States in and to said invention and in, to and under the aforesaid United States Plant Variety Protection certificate reissue, modification and extension thereof.

ALL OF THE FOREGOING to be held and enjoyed by Northrup for its own use and for the use of its successors, assigns or other legal representatives, as fully and entirely as the same would have been held and enjoyed by said Stauffer if this transfer to Northrup had not been made.

IN WITNESS WHEREOF, Stauffer has caused this instrument of assignment to be executed and its corporate seal to be hereunto affixed.

STAUFFER CHEMICAL COMPANY

Robert C. Sullivan Assistant Secretary

ATTI

THE STATE OF CONNECTICUT COUNTY OF FAIRFIELD

BEFORE ME, the undersigned authority, a Notary Public in and for said County and State, on this day personally appeared ROBERT C. SULLIVAN, ASSISTANT SECRETARY of Stauffer Chemical Company, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that the same was the act of such Corporation, and that he executed the same for and as the act of such Corporation for the purposes and consideration therein expressed and in the capacity therein stated.

GIVEN UNDER MY HAND AND SEAL OF OFFICE, this 23 day of

March, 1987.

Notary Public

RUTH K. JOHNSON MOTARY PUBLIC

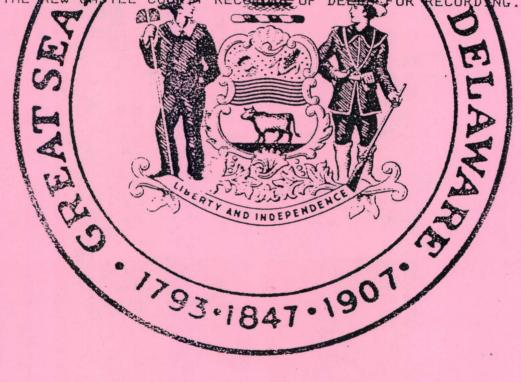
" consunction exertes refer to, 1990



Office of the Secretary of State

I, EDWARD J. FREEL, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "NORTHRUP KING CO.", CHANGING ITS NAME FROM "NORTHRUP KING CO." TO "NOVARTIS SEEDS, INC.", FILED IN THE OFFICE ON THE THERTIETH DAY OF DECEMBER, A.D. 1997, ALSO O'BLOCK A.M.

A CERTIFIED COPY OF THIS CERTIFICATE WAS SEEN FORWARDED TO





Edward I Freel Secretary of State

0829320 8100

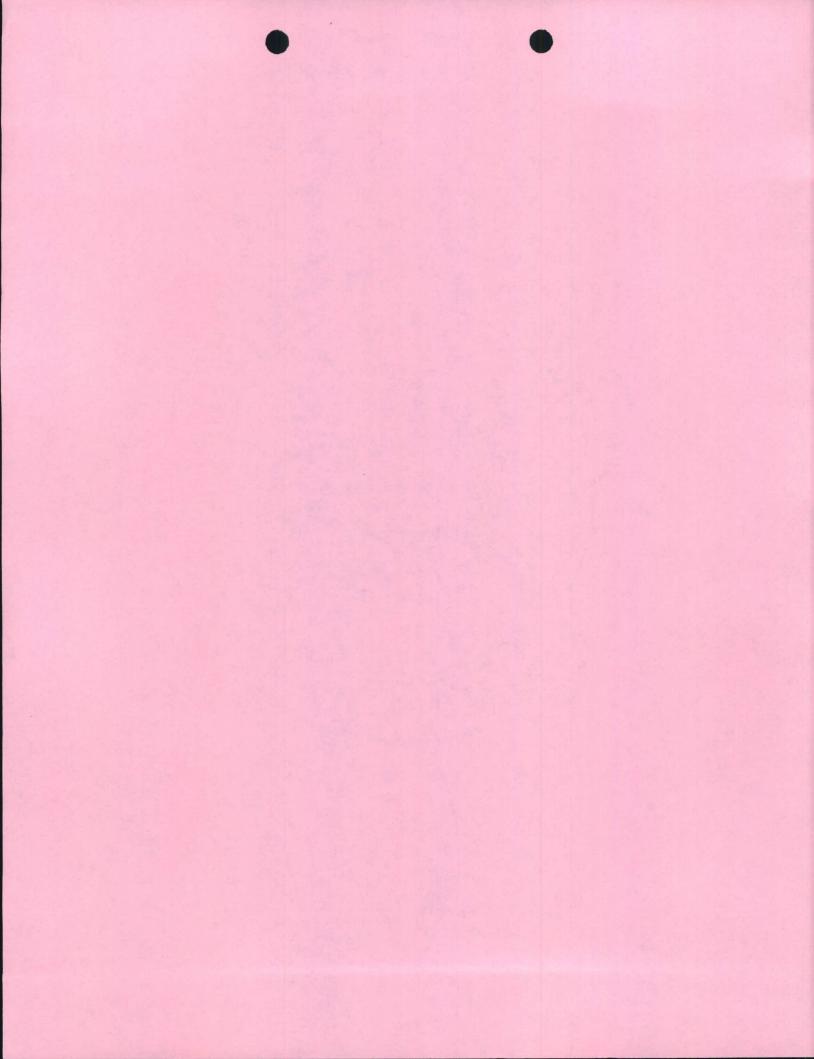
960389892

AUTHENTICATION:

B267947

DATE:

12-31-96



CERTIFICATE OF AMENDMENT OF CERTIFICATE OF INCORPORATION

OF

NORTHRUP KING CO.

It is certified that:

- 1. The name of the corporation (hereinafter called the "Corporation") is Northrup King Co.
- 2. The Certificate of Incorporation of the Corporation is hereby amended by striking out Section 1 thereof and by substituting in lieu of said Section the following new Section.
 - 1. The name of the Corporation is Novartis Seeds, Inc.
- 3. The amendment of the certificate of incorporation herein certified has been duly adopted and written consent has been given in accordance with the provisions of Sections 228 and 242 of the General Corporation Law of the State of Delaware.
 - 4. The effective date of the amendment herein certified shall be January 1,1997.

Signed on December 27, 1996.

Edward C. Resler

Vice President & Secretary

14:08

